IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
René Bernards and Renate Zwijsen	)	Examiner:
	)	
Serial No.: TBA (Cont. of 09/302,305)	)	Art Unit:
	)	
Filed: Herewith	)	
	)	
Title: INTERACTION BETWEEN CYCLIN D1 AND	)	
STEROID RECEPTOR CO-ACTIVATORS	)	
AND USES THEREOF IN ASSAYS	)	

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attention: Official Draftsman

TRANSMITTAL OF FORMAL DRAWINGS

Please substitute the enclosed eleven (11) sheets of formal drawings for the corresponding drawings presently in the continuation application being submitted herewith.

Please apply any other charges or credits to our Deposit Account No. 19-0733.

Respectfully submitted,

Dated: September 14, 2001

John P. Iwanicki, Reg. No. 34,628
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Express Mail Receipt No.: EL 728371164 US

Please type a plus sign (+) inside this box ➡ ⊞

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	**96700/680**
First Inventor	**David Alland, et al.**
Title	**THE iniB, iniA AND iniC GENES OF MYCOBACTERIA AND METHODS OF USE**
Express Mail Label No.	**EL613248336US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
   (Submit an original and a duplicate for fee processing)

2. ☑ Applicant claims small entity status.
   See 37 CFR 1.27.

3. ☑ Specification [*Total Pages* **33**]
   (preferred arrangement set forth below)
   - Descriptive title of the invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table,
     or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

4. ☑ Drawing(s) *(35 U.S.C. 113)* [*Total Sheets* **10**]

5. Oath or Declaration [*Total Pages* **1**]
   a. ☐ Newly executed (original or copy)
   b. ☑ Copy from a prior application (37 CFR 1.63 (d))
      (for continuation/divisional with Box 18 completed)
      i. ☐ **DELETION OF INVENTOR(S)**
      Signed statement attached deleting inventor(s)
      named in the prior application, see 37 CFR
      1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
   Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement
    (when there is an assignee) ☑ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure
    Statement (IDS)/PTO-1449   ☐ Copies of IDS Citations

13. ☑ Preliminary Amendment

14. ☐ Return Receipt Postcard (MPEP 503)
    (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
    (if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122
    (b)(2)(B)(i). Applicant must attach form PTO/SB/35
    or its equivalent.

17. ☐ Other: ....

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/177,349**

Prior application information: Examiner **Rodney P. Swartz, Ph.D** Group Art Unit: **1645**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Signature		*Date*	**July 31, 2001**

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*NOT ADMITTED IN NEW YORK

July 31, 2001

<u>*via* Express Mail</u>

Assistant Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: New U.S. Application (Small Entity), a Continuation under 37 CFR
1.53(b)(1) of Serial No. 09/177,349 Filed on October 23, 1998,
now U.S. Pat. No. 6,268,201 Issued on July 31, 2001
Title: THE iniB, iniA AND iniC GENES OF MYCOBACTERIA
AND METHODS OF USE
Inventors: David Alland, Barry R. Bloom and William R. Jacobs, Jr.
Our File: 96700/680

Sir:

Enclosed please find a new Patent Application, claiming small entity status,
which is a Continuation under 37 CFR 1.53(b)(1) of parent application, Serial No.
09/177,349 filed on October 23, 1998, now U.S. Patent 6,268,201 issued on July 31,
2001 in the names of David Alland, Barry R. Bloom and William R. Jacobs, Jr., entitled
THE iniB, iniA AND GENES OF MYCOBACTERIA AND METHODS OF USE, comprising
the following:

1.   a Utility Patent Application Transmittal form (1 page);

2.   a Preliminary Amendment (2 pages);

172064.1

3.   a Specification (27 pages), Claims (5 pages) and an Abstract (1 page);

4.   drawings (Figures 1-7; 10 sheets)

5.   a Declaration and Power of Attorney (1 page, copy from prior application); and

6.   a Return receipt postcard.

Please acknowledge receipt of the enclosed documents by stamping the enclosed postcard and returning same.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN
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Dated: New York, New York   By:_____
          July 31, 2001   Elie H. Gendloff
                                            Registration No. 44,704

EHG/lm
Enclosures

172064.1